

# Cultivating Integration

Missouri's Grant Funded Efforts



**2009 IIJIS Summit**

**Jeff Barlow**

**[www.1hawthorn.com](http://www.1hawthorn.com)**

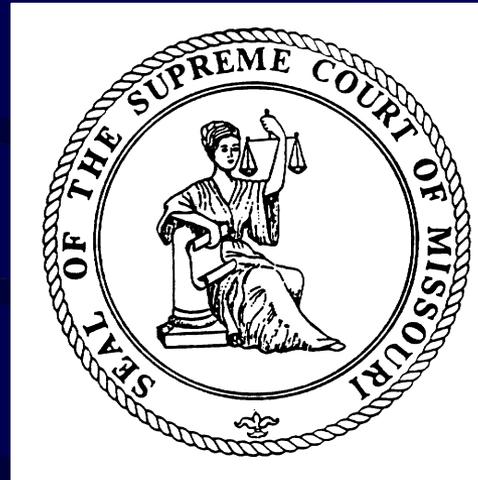
**September 10, 2009**

# Jeff Barlow



**Legislative**

ICM & PI Faculty



**Judicial**

MPA-Mizzou



**Executive**

ICM Fellow

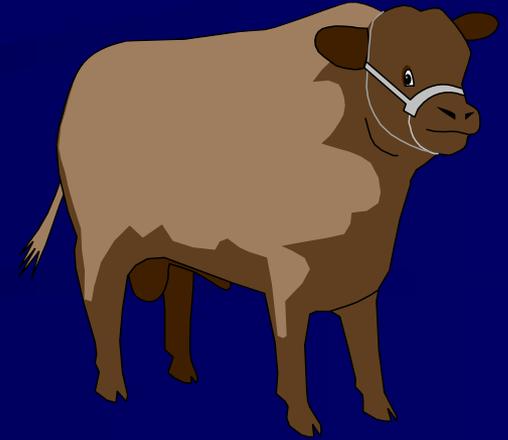
**Hawthorn Institute**

# Future Budget Decision Makers



Criminal Justice Agencies must be prepared to communicate the public benefits of automation and compete for resources with schools, health care and other important social programs.

# The Larkin Tips



- 1) Think differently
- 2) Give people what they want
- 3) Budgeting is not seasonal
- 4) Think Big... Think Excitement
- 5) CJ Agencies As Gatekeepers

# Practical Tips

- 6) Put the right players on the field
- 7) Fish all the ponds
- 8) Be entrepreneurial
- 9) Seek flexibility
- 10) Balance need with greed



# Success Factors

Project Management

Strategic Planning

Assessment/Research & Training

CJ Grants Task Force

# Project Management

<http://oa.mo.gov/itsd/cio/projectmgmt/index.htm>

Site  State

Search

Clear

Advanced Search

## QUICK LINKS

ITAB

Missouri GIO Portal

NASCIO

Global Justice

Prime Vendor

Statewide IT  
Contracts

## CONTACT INFO

OA Contact  
Information

Telephone Directory

## OTHER INFO

## Office of the Chief Information Officer

[Home](#) | [Office of the CIO](#) | [Administration](#) | [Infrastructure](#) | [Operations](#) | [OA-IT Support](#)

## Project Management

To ensure our information technology investments serve the business goals of the state, the Office of Information Technology continues to support and promote the project management policies, guidelines and best practices adopted by the Information Technology Advisory Board. The project management training program will continue to evolve to better meet the needs of the state's information technology community.

With new e-government initiatives being developed and the need for collaboration between agencies to share data across diverse platforms, it has become apparent that a standardized methodology of project management tracking and reporting is needed. As project managers from individual agencies work together toward a common set of goals and objectives, it only makes sense that they share a common language or methodology of project management. This shared language has been identified in the Missouri Project Management Methodology Manual, which is currently on version 2.0 and will continue to be updated as new and revised best practices are identified.

## [Contact Us](#)

Project Management Manual

[Missouri Project Management Best Practices Reference Manual](#)

[Missouri Project Management Best Practices Manual Change Management Process](#)

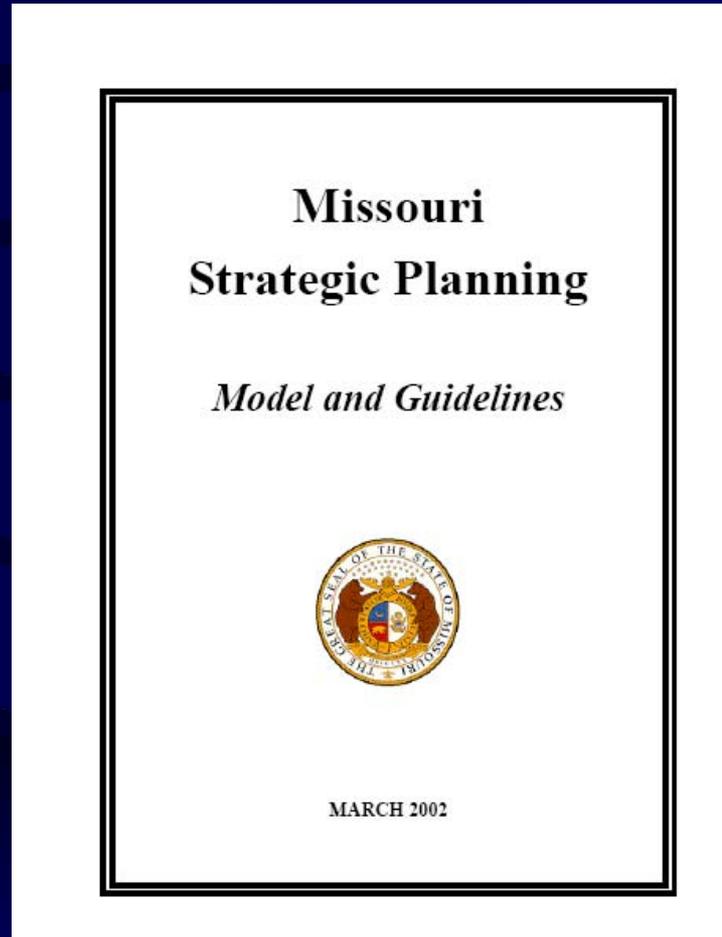
Project Management Standing Committee

- [Committee Charter](#) (PDF Format)

Project Management Policies with links to Guidelines and Best Practices

- [Configuration Management](#)
- [Project Planning](#)
- [Project Tracking](#)
- [Requirements Management](#)
- [Risk Management](#)

# Strategic Planning



- Download at: [1hawthorn.com/strategic.pdf](http://1hawthorn.com/strategic.pdf)

# Shared Vision & Legislative Authority

**Criminal records and justice information advisory committee, established-- purpose--members--meetings, quorum--minutes, distribution, filing of.**

43.518. 1. There is hereby established within the department of public safety a "Criminal Records and Justice Information Advisory Committee" whose purpose is to:

- (1) Recommend general policies with respect to the philosophy, concept and operational principles of the Missouri criminal history record information system established by sections 43.500 to 43.530, in regard to the collection, processing, storage, dissemination and use of criminal history record information maintained by the central repository;
- (2) Assess the current state of electronic justice information sharing; and
- (3) Recommend policies and strategies, including standards and technology, for promoting electronic justice information sharing, and coordinating among the necessary agencies and institutions; and
- (4) Provide guidance regarding the use of any state or federal funds appropriated for promoting electronic justice information sharing.

# Assessment/Research & Training

Criminal History Audits (twice)

2003

“Statewide Justice Information Sharing Report”

2007

“Statewide Data Sharing Service”

2006-2009

NIEM and Global Justice XML Training

# CJ Grants Task Forces

Partner Agencies Working  
Together

-KC Prosecutor Example

-CDL Fed Mandate Example

# Outcomes of Automation

Outcomes are end points related to **public benefits** for which a level of success can be determined.

# Outcomes of Automation

Outcomes are not owned by a single agency...rather by the **general public**. They often cross agency and program lines in public and private sectors.

# Why Automate?

~~What's In It for  
Me?~~

~~What's In It for  
US?~~

**What's In It for  
our Customers?**

# Automation Projects

**Example:** Justice Assistance Grants

**Funding Status:** Year-to-year

**Current/Historical Utilization:**

- Criminal Justice Integration
- 5% set-aside-criminal records improvement
- Software upgrade
- Computers for rural courts

# Automation Projects

**Example:** NCHIP (Different Solicitations)

**Funding Status:** Weak

**Current/Historical Utilization:**

- **Data Conversion**
- **Prosecutor integration**
- **E-Warrants**
- **Domestic Violence, mental defects, etc.**
- **Criminal Disposition Automation**

# Automation Projects

**Example:** VAWA Grants to Encourage Arrest Policies in Domestic Violence

**Funding Status:** Stronger

**Current/Historical Utilization:**

- Automated reporting of Adult Protection Orders
- Automated Reporting of Warrants
- Integration with regional info centers

# Automation Projects

**Example:** Highway Safety 408 (traffic records improvement) and 402 (general highway safety) and 410 (alcohol projects)

**Funding Status:** Good

**Current/Historical Utilization:**

- Automated Traffic Record of Convictions
- Implementation of Software

# Automation Projects

**Example:** Federal Motor Carrier Safety Administration (FMCSA)

**Funding Status:** Good

**Current/Historical Utilization:**

- **Implementation of Case Management System in local courts**
- **Automatic reporting of records of convictions – Federal Mandate**

# Automation Projects

**Example:** Court Improvement Program  
(DHHS)

**Funding Status:** Good

**Current/Historical Utilization:**

- Case Management System  
Improvements/Upgrades
- Electronic Filing

# Automation Projects

**Example:** STOP Grant Program

**Funding Status:** Good

**Current/Historical Utilization:**

- **E-Filing Pilot Project**
- **Study automated reporting of Domestic Violence**

# Automation Projects

**Example:** Victims of Crime Act

**Funding Status:** Good

**Current/Historical Utilization:**

- Automated Victim Notification

# Automation Projects

**Example:** Juvenile Accountability Block Grant

**Funding Status:** Weaker

**Current/Historical Utilization:**

- Helped create Missouri Juvenile Justice Information System (MOJJIS) for 5-agency info sharing to improve assessments and public safety.

# Automation Projects

**Example:** LLETP

**Funding Status:** Good

**Current/Historical Utilization:**

- 8 Million being used to create Municipal repository and integration.
- Now Paying for CJ Project Manager

# Automation Projects

**Example:** COPS Tech Grants & Earmarks

**Funding Status:** Problematic

**Current/Historical Utilization:**

- Computers in Patrol Cars
- Integration Generally
- Statewide Juvenile Justice

# NICS Improvement Amendments Act of 2007

- The Attorney General shall make grants to each State, consistent with State plans for the integration, automation, and accessibility of criminal history records, for use by the State court system to improve the automation and transmittal of criminal history dispositions, records relevant to determining whether a person has been convicted of a misdemeanor crime of domestic violence, court orders, and mental health adjudications or commitments, to Federal and State record repositories in accordance with sections 102 and 103 and the National Criminal History Improvement Program.

# NICS Improvement Amendments Act of 2007

- Start planning and posturing now
- Conduct a study or evaluation or audit of your criminal records system
  
- Missouri's Justice Info Sharing Report
- <http://oa.mo.gov/itsd/cio/archive/informationsharingreport.pdf>

# Recovery Act Grants Pending

- Re-integrate to new Case Management System**
- Video Conferencing**
- Broadband – Public Safety**
- Replacement Equipment**
- E-filing of Court Records**
- Records Retention**

# Preparing for IT Grants

## Know the Lingo

Address  <http://www.ojp.usdoj.gov/bjs/nchip.htm#nchipsum>  Go  Links 

**U.S. Department of Justice \* Office of Justice Programs**  
**Bureau of Justice Statistics**

[BJS home page](#) *Justice Statistics Improvement Program*

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**National Criminal History Improvement Program (NCHIP)**

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**Justice statistics improvement program**

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On this page:  
[Program Summary](#) | [Program Impact & Accomplishments](#) | [State-by-State Summary](#) | [National Initiatives](#) | [Related sites](#)

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**Criminal Records Statistics - Summary findings, publications, related sites**

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**Program Summary**

In this section:  
[Program objectives](#) | [Program components](#) | [Uses of criminal records](#) |

# Preparing for IT Grants

## Know the Lingo

The screenshot shows a web page with a navigation bar at the top containing links for Home, Global Justice, National Initiatives, Privacy & Civil Liberties, Policy & Practice, Implementation Assistance (which is highlighted), and Help. On the left side, there is a sidebar menu with 'Implementation Assistance' selected, listing various resources like 'National Information Sharing Standards Help Desk', 'Justice Standards Clearinghouse' (with sub-links for Technology Standards, Scalable Design, and Using the Clearinghouse), 'NIEM IEPD Clearinghouse', 'Justice Information Sharing Practitioners', 'Training and Technical Assistance Opportunities', and 'Requesting Assistance'. The main content area is titled 'Justice Standards Clearinghouse Implementation' and contains a paragraph explaining the clearinghouse's purpose and a list of categories and areas of interest. The categories listed are Corrections, Courts, Emergency Management Services, and Fire. The areas of interest listed are Architectural, Data Exchange Languages, Data Representation, and Data Storage and Interfacing.

<a href="#">Home</a>	<a href="#">Global Justice</a>	<a href="#">National Initiatives</a>	<a href="#">Privacy &amp; Civil Liberties</a>	<a href="#">Policy &amp; Practice</a>	<a href="#">Implementation Assistance</a>	<a href="#">Help</a>
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### Implementation Assistance

- [National Information Sharing Standards Help Desk](#)
- Justice Standards Clearinghouse**
  - » [Technology Standards](#)
  - » [Scalable Design](#)
  - » [Using the Clearinghouse](#)
- [NIEM IEPD Clearinghouse](#)
- [Justice Information Sharing Practitioners](#)
- [Training and Technical Assistance Opportunities](#)
- [Requesting Assistance](#)

## Justice Standards Clearinghouse Implementation

Many different categories are available in the Justice Standards Clearinghouse for Information Sharing (JSC or Clearinghouse). Users will find many types of standards represented in the Clearinghouse, including data/record format, database design, functional requirements, interface, interoperability, language/protocol, security, and testing/quality assurance standards. The cataloged information represents local, state, tribal, federal, international, and industry standards applicable to the justice area. Clearinghouse users may also review applicable requirements for designing new and upgrading current information technology systems.

To view the different standards, use the Categories or Areas of Interest below to find your standard, and click on the standard itself to see details.

Communities Impacted	Areas of Interest
<a href="#">Corrections</a>	<a href="#">Architectural</a>
<a href="#">Courts</a>	<a href="#">Data Exchange Languages</a>
<a href="#">Emergency Management Services</a>	<a href="#">Data Representation</a>
<a href="#">Fire</a>	<a href="#">Data Storage and Interfacing</a>

Site Tools

# http://it.ojp.gov/index.jsp



## Justice Information Sharing

U.S. Department of Justice, Office of Justice Programs

[Customize This Site!](#)

[Home](#) | [Global Justice](#) | [National Initiatives](#) | [Privacy & Civil Liberties](#) | [Policy & Practice](#) | [Implementation Assistance](#) | [Help](#)

### Featured Programs

- National Information Exchange Model (NIEM)
- Privacy and Civil Liberties Protection
- Fusion Centers
- Justice Reference Architecture
- Security and Federated Identity
- Justice Standards Clearinghouse

### Justice Information Sharing

### Global Justice

### **National Initiatives**

### Privacy & Civil Liberties

### Policy & Practice

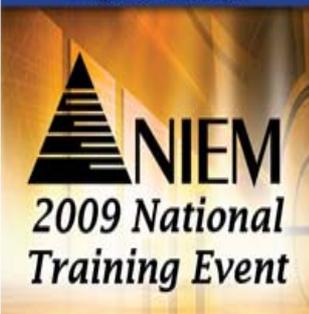
### Implementation Assistance

## National Initiatives

Review key information sharing programs rooted in national objectives and long-term missions that benefit justice agencies across the country.



**Register Today!**



### NIEM 2009 National Training Event

National Initiatives: Review key information sharing programs rooted in national objectives and long-term missions that benefit justice agencies across the country.

Baltimore, Maryland  
**September 30–October 2, 2009**

## Information Sharing News

- [Last Chance to Reserve a](#)
- [The Evolution of the](#)
- [Virtual Alabama Facilitates](#)

### Events Calendar

Jul	August 2009						Sep
Sun	Mon	Tue	Wed	Thu	Fri	Sat	
26	27	28	29	30	31	1	
2	3	4	5	6	7	8	

### Site Tools

<http://it.ojp.gov/index.jsp>

The **National Information Exchange Model (NIEM)** is the result of a collaborative effort by the justice and homeland security communities to produce a set of common, well-defined data elements to be used as the basis for data exchange development and harmonization. NIEM defines a set of building blocks that are used as a consistent baseline for creating exchange documents and transactions within the Department, the federal government and between the federal government and state, local and tribal organizations.

# Prosecuting Attorney

Arresting Agency submits to PA:

SID OCN  
Name DOB  
Arrest Charge DOA  
SSN

PA Submits to Court:

SID OCN  
Name DOB  
Charges Filed SSN  
DOA

CRID submits to PA:

SID OCN  
Name DOB  
Arrest Charge SSN  
DOA

PA Submits to CRID:

SID OCN  
Name DOB  
Charges Filed/Nolle  
SSN DOA

Court Submits to CRID:

SID OCN  
Name DOB  
Disposition SSN

# Court

CRID submits back to Arresting Agency:

SID/FBI #

# CRID

Court Submits to DOC:

SID OCN  
Name DOB  
Disposition SSN

DOC submits to CRID:

SID OCN  
Name DOB  
Date Received SSN

# DOC

## Failure to Appear/ Warrant Issued

Court Submits back to Entering Agency:

SID OCN  
Name DOB  
Charges Filed SSN

# Arresting Agency

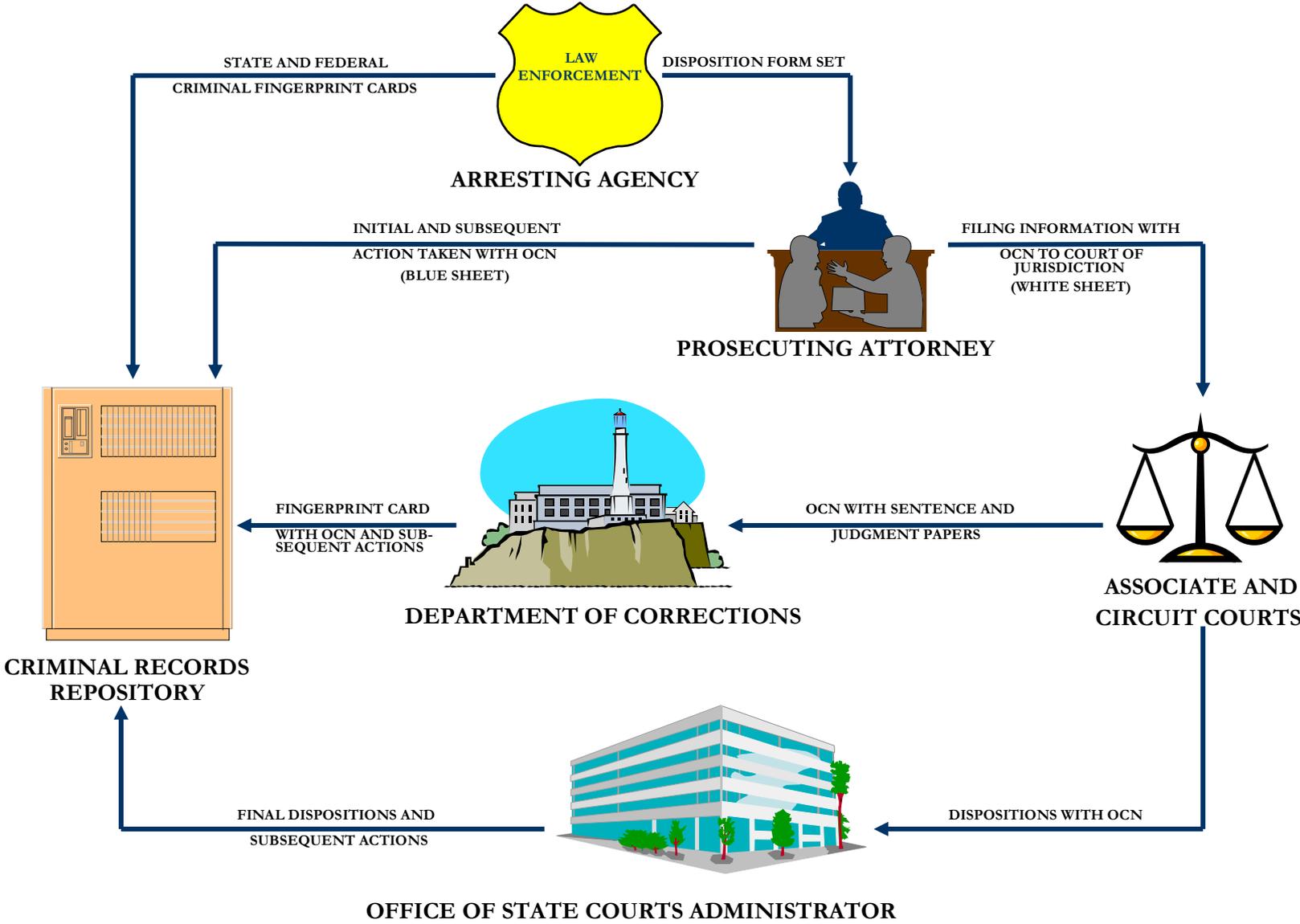
Submits to CRID:

Fingerprints  
Name/Alias Names  
Date of Birth  
SSN  
OCN  
Arrest Charge  
DOA

## Entering Law-Enforcement Agency:

Enters Warrant Information into MULES/NCIC

# CRIMINAL RECORDS FLOWCHART

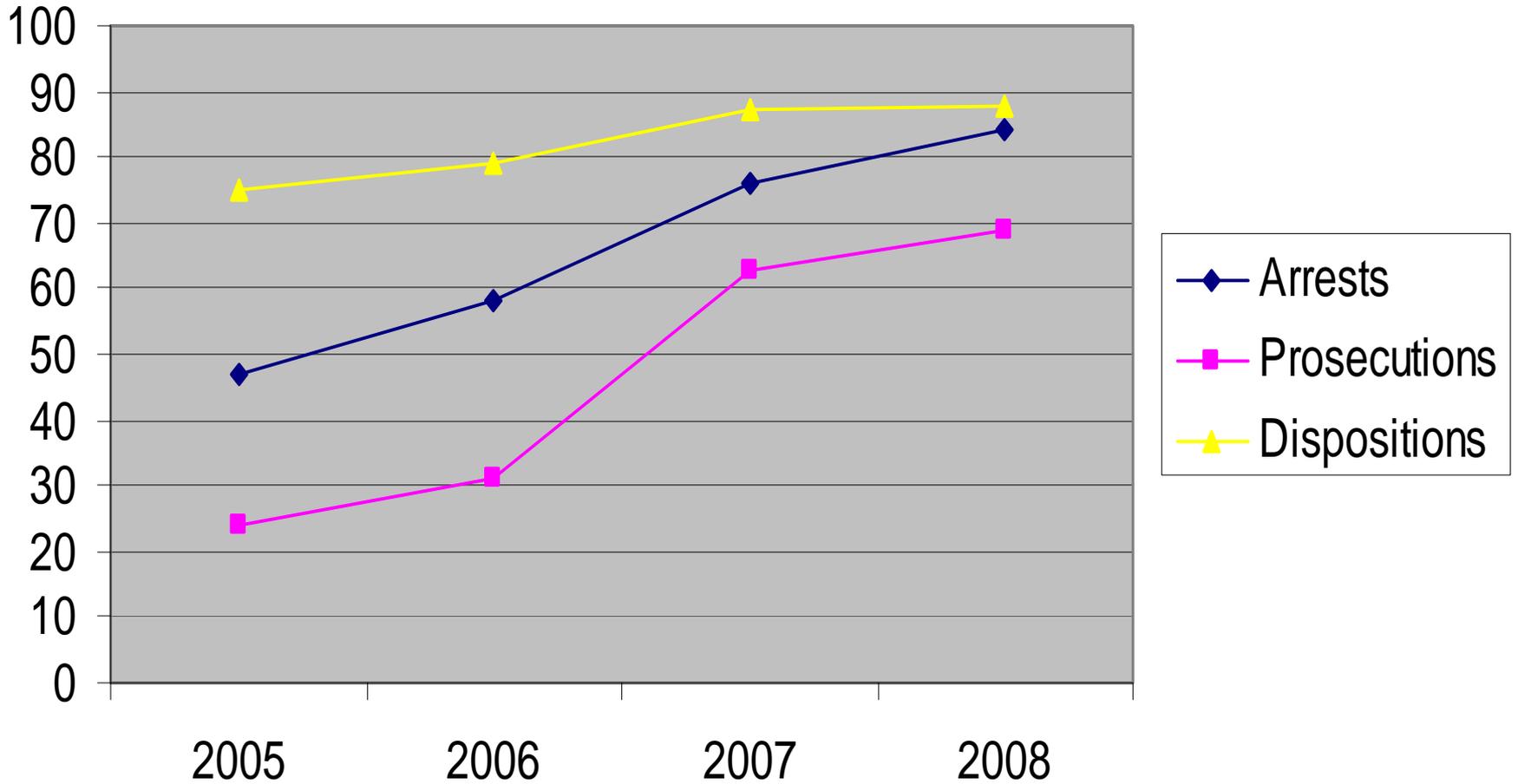


# Goals

## For Electronic Data Sharing

- 98% Corrections Custody data going into Criminal History by Dec 2008
- 80% of all Court Dispositions into Criminal History by Dec 2008
- 50% of Prosecutor Actions into Criminal History by Dec 2008
- 25% of Local Incidents into repository by Dec 2008

## Percentage of Electronic Submissions to Criminal History

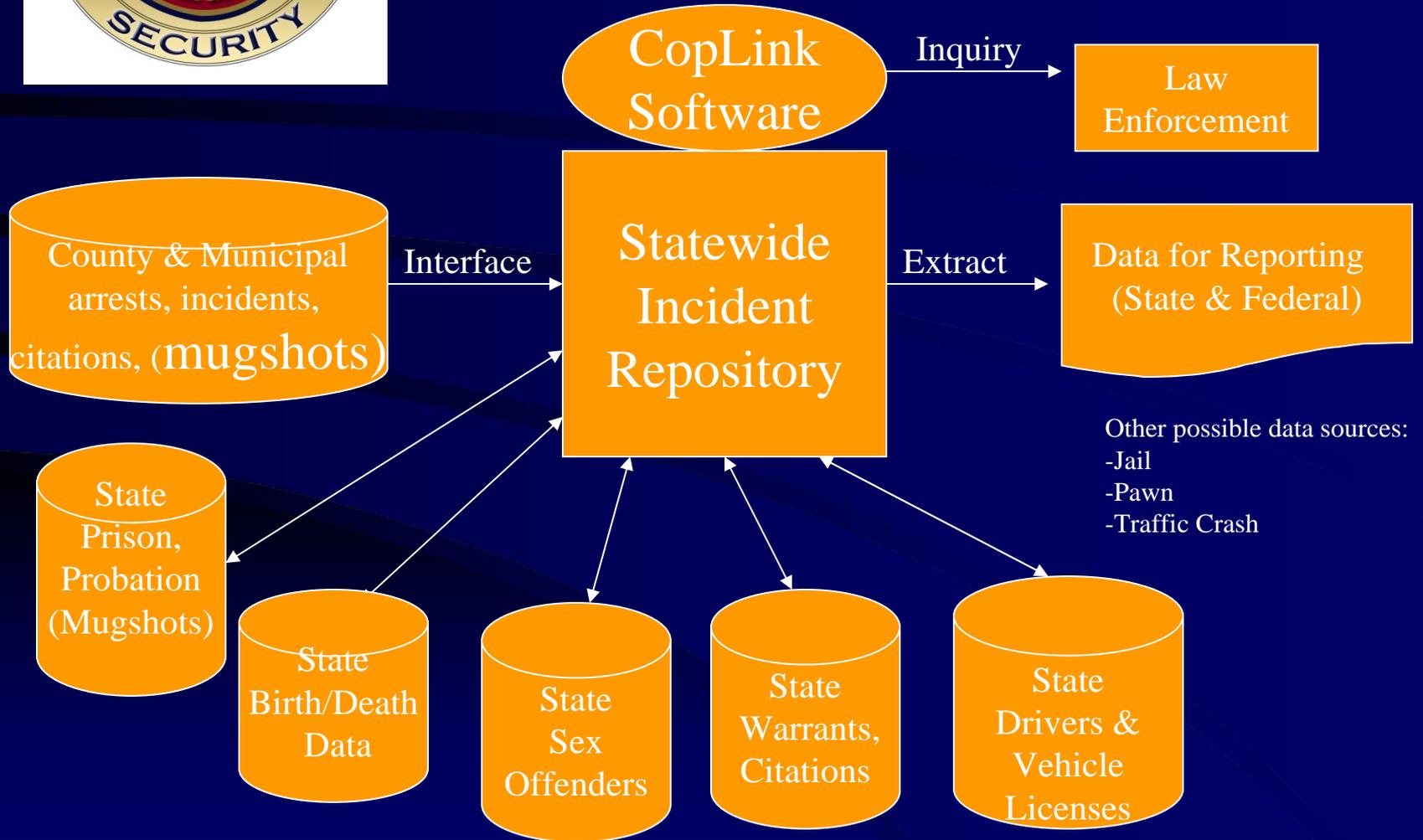


# 2003 Tier Study Recommendations

1. Share incidents statewide.
2. Improve court disposition sharing.
3. Share additional Court info.
4. Share Corrections information.
5. Improve warrant process.
6. Improve OCN assignment.



# MoDEX (MO Data Exchange)



# Final thoughts

- Most grants allow for a technology solutions to be implemented
- Consider indirect benefits
- Think Strategically
- Get on the same page
- Leverage Resources and Planning time
- Avoid Supplanting with contracts signed before the grant is awarded