

Uniform Notice for Funding Opportunity (NOFO)
Adult Redeploy Illinois – Implementation Grants
July 5, 2018

	Data Field	
1.	Awarding Agency Name:	Illinois Criminal Justice Information Authority
2.	Agency Contact:	Adriana Pérez, Program Manager Adult Redeploy Illinois Illinois Criminal Justice Information Authority 300 West Adams, Suite 200 Chicago, IL 60606 Adriana.Perez@Illinois.gov 312-793-8406
3.	Announcement Type:	<input checked="" type="checkbox"/> Initial announcement <input type="checkbox"/> Modification of a previous announcement
4.	Type of Assistance Instrument:	Grant
5.	Funding Opportunity Number:	1687-566
6.	Funding Opportunity Title:	Adult Redeploy Illinois – SFY19 Implementation Grants
7.	CSFA Number:	546-00-1687
8.	CSFA Popular Name:	Adult Redeploy Illinois (ARI) SFY19
9.	CFDA Number(s):	N/A
10.	Anticipated Number of Awards:	3 – 5
11.	Estimated Total Program Funding:	\$700,000.00
12.	Award Range	\$20,000 - \$400,000
13.	Source of Funding:	<input type="checkbox"/> Federal or Federal pass-through <input checked="" type="checkbox"/> State <input type="checkbox"/> Private / other funding
14.	Cost Sharing or Matching Requirement:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
15.	Indirect Costs Allowed Restrictions on Indirect Costs	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, provide the citation governing the restriction:
16.	Posted Date:	July 5, 2018
17.	Closing Date for Applications:	August 6, 2018
18.	Technical Assistance Session:	Session Offered: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Session Mandatory: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

A. Program Description

Adult Redeploy Illinois (ARI) was established by the Illinois Crime Reduction Act of 2009 (Public Act 96-0761) to “increase public safety and encourage the successful local supervision of eligible offenders and their reintegration into the locality.” Under the Crime Reduction Act, ARI provides financial incentives (grants) to counties, groups of counties, and judicial circuits to increase local-level evidence-based programming for non-violent offenders. In exchange for the funding, jurisdictions agree to reduce the number of people they send to the Illinois Department of Corrections (IDOC), with penalties if they do not meet the reduction goal. Research has shown that community-based alternatives to incarceration, such as problem-solving courts, can reduce recidivism, decrease costs to taxpayers, increase public safety, and reintegrate offenders back into society.

ARI is housed at the Illinois Criminal Justice Information Authority (ICJIA), a state agency dedicated to improving the administration of criminal justice. ICJIA brings together key leaders from the justice system and the public to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The statutory responsibilities of ICJIA fit into four areas: grants administration; research and analysis; policy and planning; and information systems and technology.

B. Authorizing Statutes

Section 7(k) of the Illinois Criminal Justice Information Act (20 ILCS 3930/7(k)) establishes ICJIA as the agency “to apply for, receive, establish priorities for, allocate, disburse and spend grants of funds that are made available...from the United States pursuant to the federal Crime Control Act of 1973, as amended, and similar federal legislation, and to enter into agreements with the United States government to further the purposes of this Act, or as may be required as a condition of obtaining federal funds.”

Section 1560 of the Illinois Administrative Code (20 Ill. Admin. Code, Section 1560.10) establishes ICJIA as the agency to “exercise its responsibility *to apply for, receive, establish priorities for, allocate, disburse and spend grant funds that are made available by private sources* [20 ILCS 3930/7(k)], *to receive, expend and account for such funds of the State of Illinois as may be made available to further the purposes of the Act* [20 ILCS 3930/7(1)], *to enter into contracts and to cooperate with units of general local government or combinations of such units, State agencies, and criminal justice system agencies of other states for the purpose of carrying out the duties of ICJIA imposed by the Act* [20 ILCS 3930/7(m)]....”

The grant making processes administered by ICJIA are subject to the rules and regulations enacted pursuant to the Grant Accountability and Transparency Act (GATA). 30 ILCS 708 et seq., and its associated Administrative Rules, 44 Ill. Admin. Code 7000 et seq., administered by

the Grant Accountability and Transparency Unit (GATU) within the Governor’s Office of Management and Budget.

The agency must comply with all applicable provisions of state and federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity, including, but not limited to: The Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), The Public Works Employment Discrimination Act (775 ILCS 10/1 et seq), The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a-and 2000H-6), Section 504 of the Rehabilitation Act of 1973 (29 USC 794), The Americans with Disabilities Act of 1990 (42 USC 12101 et seq.), and The Age Discrimination Act (42 USC 6101 et seq.).

ARI’s enabling statute, the Crime Reduction Act (730 ILCS 190/), allows financial incentives to be provided to local jurisdictions for programs that allow diversion of non-violent offenders from state prisons by providing community-based services and supervision through the ARI program. Pursuant to the Crime Reduction Act, the General Assembly has obligated funds for the ARI program to provide financial incentives to local jurisdictions through ICJIA on behalf of the ARI Oversight Board. Funds will be made available pursuant to ICJIA’s rules entitled “Operating Procedures for the Administration of Non-Federal Funds” (20 Illinois Administrative Code 1560 et seq.) and pursuant to the ARI Oversight Board designating the Implementing Agency to receive funds for activities that plan for or implement a local ARI program.

ARI funds for State Fiscal Year 2019 became available through Public Act 100-0586 (Article 117, Section 10) in which \$10,229,100, or so much thereof as may be necessary, was appropriated from the General Revenue Fund to ICJIA for administrative costs, awards, and grants for Adult Redeploy and Diversion Programs.

C. Program-Specific Information

Background

Adult Redeploy Illinois (ARI) was part of a package of criminal justice reform measures passed in 2009 to improve outcomes in the Illinois criminal justice system by requiring the use of validated assessments, evidence-based practices, and performance measurement and evaluation. The Crime Reduction Act, ARI’s enabling legislation, called for the adoption of a standardized validated assessment system as determined by the Risk, Assets, and Needs Assessment Task Force. Companion legislation created the Illinois Sentencing Policy Advisory Council to collect and analyze data on sentencing policies and practices to determine their outcomes and system-wide fiscal impact.

ARI is modeled after the successful Redeploy Illinois program of the Department of Juvenile Justice operating since 2005. Moreover, ARI is based on the “performance incentive funding” best practice, intended to align fiscal and operational responsibility for non-violent offenders at

the local level to produce better public safety at a lower cost. ARI also draws on concepts of justice reinvestment, such as using data to implement strategies that drive down corrections costs and free up dollars for investment in community-based programs addressing recidivism.

The goals of ARI are to:

- Reduce crime and recidivism in a way that is cost efficient for taxpayers.
- Provide financial incentives to counties or judicial circuits to create effective local-level evidence-based services.
- Encourage the successful local supervision of eligible offenders and their reintegration into the locality.
- Perform rigorous data collection and analysis to assess the outcomes of the programs.

Target Population

Per the Crime Reduction Act, ARI funds are used to supervise and serve “offenders who do not fall under the definition of violent offenders” as defined by the Rights of Crime Victim and Witnesses Act.

ARI funds are directed toward prison-bound individuals whose diversion to community-based programs represents actual and immediate costs avoided by the state. To ensure that intensive interventions funded by ARI are targeted to higher risk clients who can benefit the most from them and for whom they are most cost-effective, the ARI Oversight Board established that at least 80 percent of those served at each ARI site must score moderate to high risk according to a risk assessment instrument.

Key Components

Each ARI site is unique, reflecting the program’s emphasis on local control and design. Some sites use ARI funds to create or expand problem-solving (e.g., drug, mental health, veterans) courts; others operate intensive supervision programs combined with services that address criminogenic needs. Using the principles of the Risk-Need-Responsivity model, ARI invests in practices and programs that ensure ARI clients are assessed as to their risk to re-offend, needs, and assets; matched with the appropriate type, level, and intensity of treatment services; and provided with supportive services that leverage strengths and reduce barriers to compliance and successful completion.

All sites must incorporate the ARI key components, including:

- Validated assessment of risks, needs, and assets.
- Evidence-based practices.

- Performance measurement and evaluation.

Cost-effectiveness

To demonstrate cost-effectiveness and support the sustainability of the program, ARI-funded interventions must represent substantial savings from the cost of incarceration in state prisons.

D. Application Design

Per the Crime Reduction Act, “Each county or circuit participating in the Adult Redeploy Illinois program shall create a local plan describing how it will protect public safety and reduce the county or circuit’s utilization of incarceration in State facilities or local county jails by the creation or expansion of individualized services or programs”. (730 ILCS 190/20 (c))

ARI grants funds to units of local government (counties), which can apply individually or as groups of counties or circuits. To request an ARI implementation grant, a jurisdiction must first complete a local plan using the standard plan template. Local plans must provide a detailed account of how jurisdictions intend to reduce non-violent admissions to prison. The plan must include a description of the program model, roles of the various stakeholders, efforts to support the successful reintegration of offenders through a community involvement component (e.g., community service, restorative justice board), and a commitment to reduce target population prison admissions 25% from the prior three year’s average.

Awards resulting from this opportunity will have a projected period of performance of October 1, 2018, to June 30, 2019.

Application Requirements

Only ARI SFY18 funded county units of government are eligible to apply for funds under this notice. A county may submit on behalf of a group of counties or a judicial circuit. Private agencies or not-for-profit organizations are not eligible to apply. ARI SFY18 grant recipients that either (1) received a planning grant during SFY18, or (2) received an implementation grant during SFY18, may apply to implement new ARI programs or materially change currently funded ARI programs. Preference will be given to jurisdictions that received a planning grant during SFY18.

Mandatory Program Elements

Assessment of Risk, Assets, and Needs

ARI sites must utilize validated assessment instruments to guide supervision and programming decisions.

Evidence-based Practices

ARI funds must be used to invest in local programs, services, and protocols that have been demonstrated by research to reduce recidivism. Examples of evidence-based and promising practices currently in use by ARI sites are in *Appendix B*.

Performance Measurement

Every ARI site must collect and report performance measurement data quarterly as a condition of funding. The Crime Reduction Act specifically requires the following to be measured: recidivism, rate of revocations, employment rates, education achievement, successful completion of substance abuse treatment programs, and payment of victim restitution. ARI and ICJIA have defined additional performance measures to track these and other indicators of impact. The list of mandatory data elements to be collected is included in *Appendix C*.

Reduction Goal & Reimbursement Provision

In exchange for funds, jurisdictions must agree to reduce by 25 percent the number of offenders committed to IDOC from a defined target population. The reduction goal is based on the jurisdictions' average number of commitments over the past three years according to IDOC data. Successful applicants are required to collect data and regularly report on progress following execution of a grant agreement. Jurisdictions having difficulty meeting their reduction goals are provided technical assistance and can propose a corrective action plan to meet an adjusted target. Jurisdictions failing to meet their reduction goal may be penalized for failure to meet their goal. The ARI Oversight Board has set the penalty as one-half the marginal cost of imprisonment in State Fiscal Year 2009 (\$2,500 per prisoner). As a last resort, the ARI Oversight Board has the discretion to determine the penalty amount and procedure for payment of the penalty.

Problem-Solving Court Certification (if applicable)

If the proposed program model for ARI implementation is a problem-solving court (PSC), the jurisdiction must either be certified or in the process of being certified through the Administrative Office of the Illinois Courts (AOIC). ARI funds can be used to support initial staffing, training and administrative support during the certification process; however, no funds can be used to provide direct client services until the PSC is certified by AOIC.

Goals, Objectives, and Performance Metrics

Funded programs will be required to submit quarterly participant-level data and performance progress reports to ARI that will minimally include the following information: ARI performance standards are included as *Appendix D*.

Goal: Reduce the number of non-violent offenders committed to prison from the identified target population by 25% based on the average number of commitments in the prior three years.

1. Define the target population (e.g., Class 3 and 4 offenders with substance use disorders, Class 2-4 probation violators, etc.):
2. Provide the number in the target population:
3. Calculate the baseline 25% reduction goal for the grant period (target population x 25%):
4. Estimated number of participants to be served within the grant period (service goal):
5. Estimated number of program slots at any given time (program capacity):

Process Objectives	Performance Measures
Hold multi-disciplinary team (MDT) meetings / staffings on a _____ basis to guide program implementation	<ul style="list-style-type: none"> ➤ Types of disciplines and roles represented ➤ Number of meetings held per quarter ➤ Number of meetings in which a 51% or more of team members are in attendance.
Provide _____ hours of training for staff and team members in EBPs, reporting and data submission	<ul style="list-style-type: none"> ➤ Number of hours of training ➤ Types of training ➤ % of staff trained
(Required) Identify, assess and enroll appropriate target population assuring that at least 80% of those served are moderate to high risk	<ul style="list-style-type: none"> ➤ Number of participants referred ➤ % of participants assessed ➤ Number enrolled in the program ➤ Number of participants accepted into the program at each risk level: high, medium, low ➤ % of program capacity
(Required) Based on assessed risk and needs, develop individualized service plans for 100% of participants and connect participants to appropriate services and supervision levels according to evidence-based practices	<ul style="list-style-type: none"> ➤ % of participants with completed assessments ➤ % of participants with completed service plan ➤ Number with substance use disorder (if applicable) ➤ Number with mental health diagnosis (if applicable) ➤ % with assessed need referred / linked to treatment
Monitor participant compliance and progress <ul style="list-style-type: none"> • _____ face-to-face meetings / month • _____ drug tests / week • _____ ratio of sanctions to incentives • Other: _____ 	<ul style="list-style-type: none"> ➤ Average number of monthly face-to-face meetings between participant and probation officer ➤ Average number of drug testing per quarter ➤ Average number of sanctions in response to negative behavior (e.g., jail days) ➤ Average number of incentives in response to positive behavior ➤ Number of participants at each program/treatment level or phase ➤ Average monthly caseload for program staff
(Required) Maintain capacity to collect and submit performance measurement data <ul style="list-style-type: none"> • At least .25 FTE program coordinator on program • _____ % on-time and complete 	<ul style="list-style-type: none"> ➤ Number of quality mandatory data elements at the individual level ➤ Number of hours of program coordination

submissions	
Outcome Objectives	Performance Measures
(Required) Divert at least 25% of individuals from the target population, reducing prison usage	<ul style="list-style-type: none"> ➤ Number enrolled ➤ Number active ➤ Number successfully completed ➤ Number terminated to IDOC
_____% of program participants will successfully complete program requirements	<ul style="list-style-type: none"> ➤ Treatment completion rate ➤ % successfully completing program requirements ➤ % unsuccessfully completing program requirements
_____% of program participants will make restitution for crimes committed and harm done to victims and their communities	<ul style="list-style-type: none"> ➤ Number ordered to complete a restorative justice process ➤ Number completing a restorative justice process ➤ Number ordered to pay restitution ➤ Average amount of restitution ordered ➤ Number who paid restitution ➤ Average of restitution amount paid

E. Funding Information

Award period

Grant awards resulting from this opportunity will have a target period of performance of October 1, 2018, to June 30, 2019. No costs incurred before the start date of an agreement may be charged to the grant.

Available Funds

ARI funds became available through Public Act 100-0586 (Article 117, Section 10). A maximum of \$700,000 will be made available through this funding opportunity.

Funding is available for the nine-month period of October 1, 2018 to June 30, 2019. Following successful completion of the initial grant period, applicants may be eligible for continuation grants subject to satisfactory project performance, ICJIA/ARIOB approval, availability of funding and term limitations imposed pursuant to GATA.

Agreements that result from this funding opportunity are contingent upon and subject to the availability of funds. ICJIA, at its sole option, may terminate or suspend this agreement, in whole or in part, without penalty or further payment being required, if (1) the Illinois General Assembly fails to make an appropriation sufficient to pay such obligation, or if funds needed are insufficient for any reason (30 ILCS 500/20-60); (2) the Governor decreases ICJIA's funding by reserving some or all of ICJIA appropriation(s) pursuant to power delegated to the Governor by the Illinois General Assembly; or (3) ICJIA determines, in its sole discretion or as directed by the

Office of the Governor, that a reduction is necessary or advisable based upon actual or projected budgetary considerations. The Implementing Agency will be notified in writing of the failure of appropriation or of a reduction or decrease.

Cost Sharing or Matching

There is no matching or cost-sharing requirement.

Indirect Cost Rate

To charge indirect costs to a grant, the applicant organization must have a valid negotiated indirect cost rate agreement (NICRA). There are three types of NICRAs:

- a) Federally Negotiated Rate: Applicant organizations that receive direct federal funding may have an indirect cost rate that was negotiated with the Federal Cognizant Agency. Illinois will accept the federally negotiated rate. The organization must provide a copy of the federal NICRA at the time of application.
- b) State Negotiated Rate: The organization may negotiate an indirect cost rate with the State of Illinois if they do not have Federally Negotiated Rate or elect to use the De Minimis Rate. The indirect cost rate proposal must be submitted to the State of Illinois within 90 days of the notice of award. The applicant must provide a copy of the state negotiated rate at time of application.
- c) De Minimis Rate: An organization that has never received a Federally Negotiated Rate may elect a de minimis rate of 10% of modified total direct cost (MTDC). Once established, the de minimis rate may be used indefinitely. The State of Illinois must verify the calculation of the MTDC annually in order to accept the de minimis rate. Applicants must submit documentation of the MTDC calculation to ICJIA within 90 days of the execution of a grant agreement under this solicitation.

It is the organization's responsibility to ensure that any indirect cost rate utilized is properly registered in the GATA Portal. Failure to register the rate properly may restrict an organization from charging indirect costs to a grant.

F. Eligibility Information

Eligible Applicants

This solicitation is open to ARI SFY18 grant recipients (see Appendix A) that either (1) received a planning grant during SFY18, or (2) received an implementation grant during SFY18, and want to implement a new ARI program or materially change or expand the scope of their SFY18

funded program. Applicants for funding must be a county unit of government in good standing with the State of Illinois.

GATA Compliance

Agencies must be pre-qualified through the Grant Accountability and Transparency Act (GATA) Grantee Portal, www.grants.illinois.gov, to become eligible to apply for an award. During pre-qualification, Dun and Bradstreet verifications are performed, including a check of Debarred and Suspended status and good standing with the Secretary of State. Applicants must have completed the GATA pre-qualification process by the date of application.

Applicants will also be required to submit a financial and administrative risk assessment utilizing an Internal Controls Questionnaire (ICQ) for SFY19 and obtain approval from their cognizant agencies before execution of the grant agreement. Delay in obtaining SFY19 ICQ approval will result in a delay in grant execution.

G. Application and Submission Information

Address to Obtain Application Materials

Applications must be obtained at <https://gata.icjia.cloud/> by clicking on the link titled “ARI Funding Opportunity.” All required application materials must be emailed to CJA.ARISFY19NOFO1@illinois.gov by **11:59 p.m., August 6, 2018**, to be considered for funding. Proposals will not be accepted by mail, fax or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed.

Paper copies of the application materials may be requested by calling Adriana Pérez at 312-793-8406, but applications may only be submitted via email.

Content and Form of Application Submission

Notice of Intent

Agencies interested in applying are strongly encouraged to complete an online Notice of Intent form by **11:59 p.m., July 20, 2018**. Submission of a Notice of Intent is nonbinding and will be used for internal planning purposes only. Agencies must have completed the GATA pre-qualification process by the date of application. Upon receipt of a Notice of Intent, ICJIA will offer technical assistance to agencies which have not yet demonstrated GATA compliance.

Failure to submit a Notice of Intent by the deadline above may result in an agency not receiving technical assistance with respect to GATA compliance, therefore risking grant ineligibility.

The online Notice of Intent is available at:
https://icjia.az1.qualtrics.com/jfe/form/SV_0ArbswMoHPT6MCx

Application Submission

The application must be emailed to CJA.ARISFY19NOFO1@illinois.gov. The applicant agency name should appear in the Subject line of the email. Each document attached to the email must be submitted in the manner and method described below. Applications will be rejected if any documents are missing. The applicant is responsible for ensuring that documents adhere to the instructions provided.

The following materials MUST be submitted by all applicants. The applicant must submit the documents based on the instructions provided below.				
Document	Document Name	PDF	Word	Excel
Uniform Application for State Grant Assistance – This form must be completed, signed, and scanned (PDF), and provide a Word file as well	<i>“Agency Name – Application”</i>	X	X	
Program Narrative – This document must meet the requirements outlined in Section A. The narrative must be provided on this document. Do not change the format of this document. This section should not exceed 20 pages.	<i>“Agency Name – Program Narrative”</i>		X	
Budget/Budget Narrative – This document is a workbook, with several pages (tabs). The last tab has instructions if clarification is needed.	<i>“Agency Name – Budget”</i>			X
Local Plan – This document must be completed, signed, and scanned.	<i>“Local Plan”</i>	X		

Local Plan

Applicants must produce a local plan using the standard plan template as prescribed by the Crime Reduction Act. ARI SFY18 planning grantees shall produce an original local plan. ARI SFY18 implementation grantees shall produce an amended local plan which accounts for the material change or expansion of the scope of their current program. The local plan must be approved by the ARI Oversight Board prior to being awarded funds. Failure to produce a local plan at the time of application will result in the application being rejected.

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Each applicant is required to:

(i) Be registered in SAM prior to application submission. If you are not registered in SAM, this link provides a connection for SAM registration:

<https://governmentcontractregistration.com/sam-registration.asp>

(ii) Provide a valid DUNS number in its application. To obtain a DUNS number, from Dun and Bradstreet, Inc., go to www.dunandbradstreet.com or call 1-866-705- 5711.

(iii) Maintain an active SAM registration throughout the application and grant period. ICJIA may not make a federal pass-through or state award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with the requirements by the time ICJIA is ready to make a federal pass-through or state award, ICJIA may determine that the applicant is not qualified to receive an award and may use that determination as a basis for making a federal pass-through or state award to another applicant.

Submission Dates and Times

Application Questions

Questions may be submitted via email at CJA.ARISFY19NOFO1@illinois.gov.

The deadline for submitting questions is 11:59 p.m., July 26, 2018. All substantive questions and responses will be posted on the ICJIA website at <https://gata.icjia.cloud/>.

Deadline

All applications are due by **11:59 p.m. August 6, 2018**.

Completed application materials must be emailed to CJA.ARISFY19NOFO1@illinois.gov by **11:59 p.m., August 6, 2018**, to be considered for funding. Proposals will not be accepted by mail, fax, or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed.

Agencies are encouraged to submit their applications 72 hours in advance of the deadline to avoid unforeseen technical difficulties. Technical difficulties should be reported immediately to ICJIA at CJA.ARISFY19NOFO1@illinois.gov.

Intergovernmental Review

Not applicable.

Funding Restrictions

Cost Principles

ARI Grants are subject to the cost principles as defined by GATA. Section 15 of GATA defines allowable costs as follows: "Allowable cost" means a cost allowable to a project if: (1) the costs are reasonable and necessary for the performance of the award; (2) the costs are allocable to the specific project; (3) the costs are treated consistently in like circumstances to both federally-financed and other activities of the non-federal entity; (4) the costs conform to any limitations of the cost principles or the sponsored agreement; (5) the costs are accorded consistent treatment; a cost may not be assigned to a State or federal award as a direct cost if any other cost incurred for the same purpose in like circumstances has been allocated to the award as an indirect cost; (6) the costs are determined to be in accordance with generally accepted accounting principles; (7) the costs are not included as a cost or used to meet federal cost-sharing or matching requirements of any other program in either the current or prior period; (8) the costs of one State or federal grant are not used to meet the match requirements of another State or federal grant; and (9) the costs are adequately documented. 30 ILCS 708/15. GATA further incorporates the cost principles in accordance with Subpart E of 2 CFR 200. 30 ILCS 708/20(a)(2). The Department of Justice Financial Guide which details allowable and unallowable costs based on the 2 CFR 200, can be found at <https://ojp.gov/financialguide/doj/index.htm>.

Supplanting

If funds will be used for the expansion of an already implemented program, applicants must explain how proposed activities will supplement—not supplant—current program activities and staff positions. Public agencies may not deliberately reduce local, federal or other state funds because of the existence of these funds. A written certification may be requested by ICJIA stating that these funds will not be used to supplant other state, local, or federal funds.

Prohibited Uses

Land acquisition and construction are prohibited uses of these funds. Funds may not be used for capital expenditures, renovations or remodeling, or any food and beverage purchases.

Pre-award costs

No costs incurred before the start date of the agreement may be charged to a grant award received as part of this funding opportunity.

Pre-approvals

In efforts to ensure the reasonableness, necessity and allowability of proposed uses of funds, ICJIA:

- (1) Requires prior written approval of out-of-state travel.
- (2) Requires prior written approval of equipment over \$5,000. Prior approvals may affect project timelines.
- (3) Requires prior written approval of Requests for Proposals and all subcontracts. Prior approvals may affect project timelines. Requests for Proposals and subcontracts may only be executed and have an effective date after final approval by ICJIA
- (4) Requires prior written approval of conference, meeting, and training costs for grant recipients. These prior approvals may affect project timelines.

Submission of these materials for ICJIA review should be incorporated into the application Implementation Schedules.

State Travel Guidelines

All travel costs charged to ICJIA per contractual agreement must conform to State Travel Guidelines, which may be found here:

<https://www2.illinois.gov/cms/Employees/travel/Pages/TravelReimbursement.aspx>

Applicant agencies with lower cost travel guidelines must use those lower rates.

H. Application Information

Criteria

Application materials must address all components of this Notice of Funding Opportunity and demonstrate both a need for the program and an ability to successfully implement the program. Application selection will be made using the following criteria.

The total number of points available is 100.

Scoring Criteria	Possible Points	
Summary of the Program:		5
• Provides a clear, concise summary of the proposal, stating the problems or needs to be addressed and outcomes to be gained, including a 25% reduction in IDOC	5	

commitments from the target population.		
Description of Service Area:		5
<ul style="list-style-type: none"> Describes community demographic characteristics and characteristics of the criminal justice system that are relevant to the statement of need. 	5	
Statement of the Problem – Current Situation:		10
<ul style="list-style-type: none"> Provides a complete data table that demonstrates need for the program, and uses the data in the calculation of the target population and 25% baseline reduction goal. 	5	
<ul style="list-style-type: none"> Clearly explains current strategies and alternatives to incarceration being used to address the stated need. 	5	
Statement of the Problem – Unmet Needs:		5
<ul style="list-style-type: none"> Describes the gaps in local justice and human services systems and identifies what needs to be addressed by the program. 	5	
Key Partners:		10
<ul style="list-style-type: none"> Lists members of a multi-disciplinary team(s) guiding the program implementation, and briefly describes their roles in the collaborative process. 	5	
<ul style="list-style-type: none"> Includes letters of support from all key stakeholders, including principal partners in the justice system. 	5	
Description of the Proposed ARI Program Model:		40
<ul style="list-style-type: none"> Defines the criteria to be used to accept individuals into the program, providing justification for the target population. 	5	
<ul style="list-style-type: none"> Describes the intake and assessment process to be used for the program. 	5	
<ul style="list-style-type: none"> Describes efforts to integrate existing services and programs designed to meet the individual needs of targeted offenders. 	5	
<ul style="list-style-type: none"> Describes what will be done to rehabilitate the offender in the community that is different from current practices, including a list of evidence-based and promising practices that will be employed or enhanced. 	5	
<ul style="list-style-type: none"> Includes a statement of impact to the court system. 	5	
<ul style="list-style-type: none"> Describes a plan to incorporate community involvement to aid in the successful reintegration of the program participant. 	5	
<ul style="list-style-type: none"> Describes a plan to utilize public benefits, including through the Affordable Care Act, to increase access to a broad range of treatment in a cost-effective way. 	5	
<ul style="list-style-type: none"> Includes a complete and realistic implementation schedule. 	5	
Goals, Objectives and Performance Indicators:		10
<ul style="list-style-type: none"> Describes how each of the objectives will be accomplished and measured. 	5	
<ul style="list-style-type: none"> Demonstrates capacity to meet goals and reporting requirements of the program. 	5	
Cost Effectiveness and Certification:		10
<ul style="list-style-type: none"> Demonstrates substantial savings to the state compared to incarceration (Per capita prison cost is approximately \$22,000/year). 	10	
Budget Narrative:		5
<ul style="list-style-type: none"> Includes explanation of why each line item is necessary for program implementation. 	5	
Total Possible Points		100

Review and Selection Process

All applications will be screened for completeness and successful GATA pre-qualification. Proposals that pass the screening process will be reviewed by a scoring panel of ICJIA staff, including ARI staff and members of the ARI Oversight Board's Site Selection & Monitoring Committee.

Reviewers will score applications based on completeness, clear and detailed responses to program narrative questions, and inclusion of all mandatory program elements. The applicant must demonstrate that costs are reasonable, necessary, and allowable.

Selection will be based on an evaluation of compiled scores from the scoring panel and secondary consideration of cost-benefit analysis with respect to cost per person served/diverted in the proposed program and the potential savings accruing to the state, geographic distribution of proposed services areas, past performance history, and financial standing with ICJIA.

ICJIA staff, including ARI staff, and ARI Oversight Board committee members reserve the right to reject any or all incomplete proposals, proposals including unallowable activities, proposals that fail to meet eligibility or program requirements, or proposals that are otherwise deemed to be unsatisfactory. Reviewers also reserve the right to invite one or more applicants to resubmit amended applications and modify budgets that include unallowable or unreasonable costs.

Funding will first be allocated to successful ARI SFY18 planning grant recipients for implementation of their plans. Remaining funds will be allocated to ARI SFY18 implementation grant recipients proposing changes in program scope.

Final approval of the grant will be made by the ARI Oversight Board following the recommendations of the scoring panel.

Anticipated Announcement and State Award Dates

Milestones	Target Date
Release of NOFO and open application	July 5, 2018
Notice of Intent due	July 20, 2018
Last date for submission of questions	July 26, 2018
Application Closes	August 6, 2018
Oversight Board Meeting	August 20, 2018
Start Program Performance Period	October 1, 2018

Appeals Process

Unsuccessful applicants may request a formal appeal. Only the evaluation process is subject to appeal. Evaluation scores and funding determinations may not be contested and will not be considered by ICJIA's Appeals Review Officer. The appeal must be via email and submitted within fourteen (14) calendar days after either the date the grant award notice is published or receipt of a Funding Opportunity Declination Letter from ICJIA, whichever comes first. The written appeal must include, at a minimum, the following:

- a. Statement indicating a request for a formal appeal.
- b. The name and address of the appealing party.
- c. Identification of the grant program.
- d. A statement of reason for the appeal.

Please send your appeal to:

Appeals Review Officer
Illinois Criminal Justice Information Authority
Cja.aro@Illinois.gov

Once an appeal is received, ICJIA will acknowledge receipt of an appeal within 14 calendar days from the date the appeal was received. ICJIA will respond to the appeal, in writing, within 60 days or supply a written explanation as to why additional time is required. The appealing party must supply any additional information requested by ICJIA within the time period set in the request. ICJIA will resolve the appeal by means of written determination.

The determination will include:

- a. Review of the appeal.
- b. Appeal determination.
- c. Rationale for the determination.
- d. Standard description of the appeal review process and criteria.

Debriefings

Unsuccessful applicants may request a debriefing for feedback that could help them improve future funding applications. Debriefings will take the form of written advice to applicants on the strengths and weaknesses of their applications in terms of the evaluation and review criteria.

Requests for debriefings must be made via email and submitted within seven calendar days after receipt of notice. Debriefing requests will not be granted if there is an active appeal,

administrative action, or court proceeding. The written debriefing requests shall include at a minimum the following:

- The name and address of the requesting party,
- Identification of grant program,
- Reasons for the debrief request,

Please send requests to:

Adriana Pérez, Program Manager
Adult Redeploy Illinois
Illinois Criminal Justice Information Authority
Adriana.Perez@Illinois.gov

I. Award Administration Information

ICJIA will transmit a Notice of State Award (NOSA) and the grant agreement to successful applicants after the ARI Oversight Board reviews and approves designations on August 20, 2018. The NOSA will detail specific conditions that will be included in the grant agreement. Applicant agencies must return the signed NOSA before the agreement is executed.

ICJIA also requires additional documents to be submitted prior to the execution of an agreement:

- Fiscal Information Sheet
- Audit Information Sheet
- Programmatic Risk Assessment
- Civil Rights Compliance Questionnaire

Administrative and National Policy Requirements

In addition to implementing the funded project consistent with the agency-approved project proposal and budget, agencies selected for funding must comply with applicable grant terms and conditions and other legal requirements, including, but not limited to, ICJIA Financial Guide and Policy and Procedure Manual, the Office of Management and Budget Grants Accountability and Transparency Act, and U.S. Department of Justice regulations which will be included in the award documents, incorporated into the award by reference, or are otherwise applicable to the award.

Additional programmatic and administrative special conditions may be required.

Reporting

Recipients must submit monthly financial reports, quarterly progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the CFR Part 200 Uniform Requirements and GATA. Future awards and fund drawdowns may be withheld if reports are delinquent.

Site Visits

Grantees will be required to participate in periodic site visit assessments. The assessments will provide feedback to the ARIOB on the overall organizational and operational strengths and weaknesses of the program. The assessments will be conducted by ARI staff representatives, ARIOB members and ICJIA research and analysis staff representatives, resulting in a written report to be shared with grantees and provided to the ARIOB.

J. State Awarding Agency Contact(s)

For questions and technical assistance regarding application submission, contact:

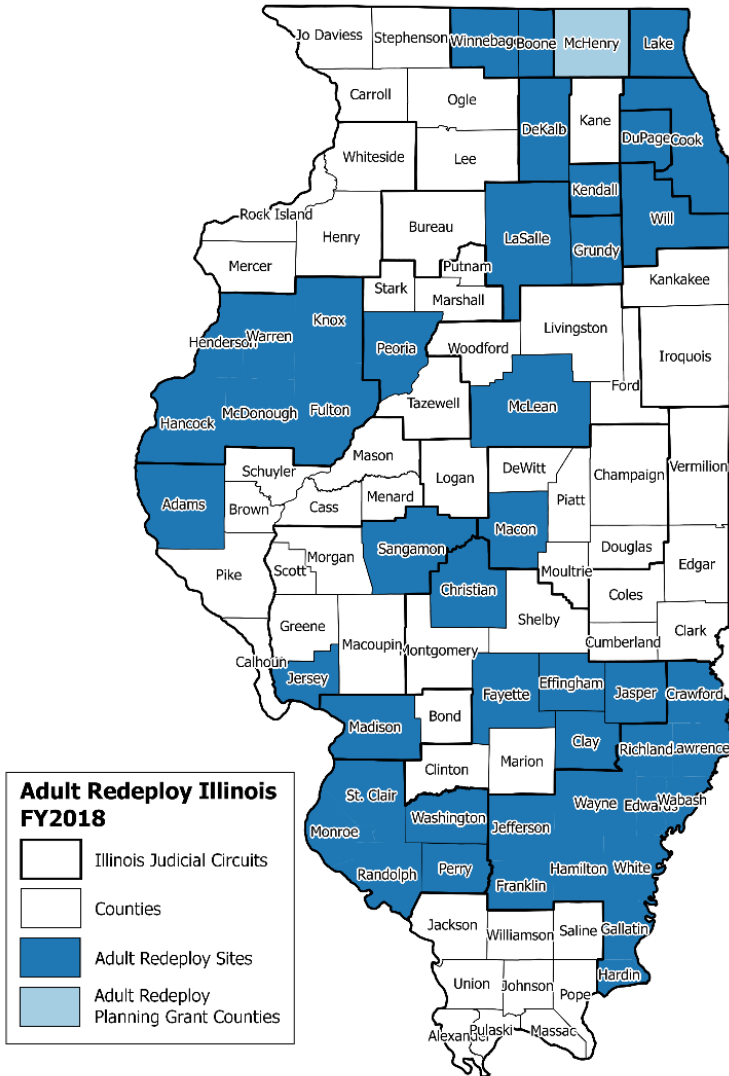
Adriana Pérez, Program Manager
Adult Redeploy Illinois
Illinois Criminal Justice Information Authority
Adriana.Perez@Illinois.gov

K. Other Information

The State of Illinois, ICJIA, and ARI not obligated to make any award as a result of this announcement. ICJIA Executive Director has sole authority to bind the state government to the expenditure of funds through the execution of an interagency grant agreement.

APPENDIX A

Map of ARI Sites



January, 2018

SFY18 Grantees

Implementation:

- 2nd Judicial Circuit
- Effingham County on behalf of the 4th Judicial Circuit
- McDonough County on behalf of the 9th Judicial Circuit Court
- St. Clair County for 20th Judicial Circuit
- Adams County on behalf of the Adams County Probation Department
- Boone County on behalf of the 17th Judicial Circuit
- Cook County
- DeKalb County on behalf of DeKalb County Drug/DUI Court
- DuPage County on behalf of the 18th Judicial Circuit Court
- Grundy County on behalf of Grundy County Circuit Court
- Jersey County on behalf of the Jersey County Illinois Probation
- Kendall County on behalf of the Kendall County Court
- County of Lake
- LaSalle County on behalf of the 13th Circuit Court Services
- LaSalle County on behalf of the LaSalle County Treatment Alternative Court
- Macon County State's Attorney's Office
- Madison County on behalf of 3rd Judicial Circuit
- McLean County on behalf of McLean County Court Services
- Peoria County on behalf of the Peoria County Probation Department
- Sangamon County on behalf of the Circuit Court
- Washington County
- Will County
- Winnebago County on behalf of the Winnebago County Circuit Court

Planning:

- LaSalle County, on behalf of the LaSalle County State's Attorney's Office
- McHenry County

APPENDIX B

Evidence-based and Promising Practices at ARI Sites

Assessments	Program Models	Probation Methods & Tools	Treatment & Therapy	Recovery & Support
Level of Service Inventory-Revised (LSI-R)	Adult drug court	Effective Practices in Community Supervision (EPICS)	Matrix model	Recovery coaching
Texas Christian University (TCU) screening & assessments	Adult mental health court	Effective Casework Model	Dialectical-Behavior Therapy (DBT)	Twelve-Step Facilitation Therapy (AA, NA)
Global Appraisal of Individual Needs (GAIN)	Intensive supervision (surveillance & treatment)	Motivational interviewing (MI)	Medication Assisted Treatment (MAT)	SMART Recovery (Self Management and Recovery Training)
Substance Abuse Subtle Screening Inventory (SASSI)		Swift & certain/ graduated sanction case management for substance abusing offenders	Integrated Dual Disorder Therapy	Wellness Recovery Action Planning (WRAP)
Risk and Needs Triage (RANT)		Electronic monitoring	Assertive Community Treatment (ACT)	Transitional and supportive housing
Client Evaluation of Self Treatment (CEST)		Carey Guides – Brief Intervention Tools (BITS)	Cognitive behavioral therapy (CBT) (for high and moderate risk offenders) <ul style="list-style-type: none"> - Thinking for a Change (T4C) - Moral Reconciliation Therapy (MRT) - Strategies for Self-Improvement and Change (SSC) - Relapse Prevention Therapy (RPT) - Moving On - Co-occurring Disorders Program (CDP) - Anger Management - Motivational Enhancement Therapy - A New Direction 	Wrap-around services <ul style="list-style-type: none"> - Community Reinforcement Approach - Cultural Competency - Family psycho-education - Work therapy - Employment retention
PTSD Checklist-Civilian Version (PCL-C), Trauma Screening Questionnaire (TSQ), Suicide Behaviors Questionnaire-Revised (SBQ-R)			Trauma-informed therapy <ul style="list-style-type: none"> - Seeking Safety - Trauma Recovery & Empowerment Model (TREM) - Helping Men/Women Recover 	

APPENDIX C

Mandatory ARI Data Elements for Performance Measurement

Demographics:

- Name
- Date of birth
- Gender
- Race
- SID (fingerprint identification number)

Case information:

- Current offense (type of offense, class, dates of arrest and sentence)
- Date client was accepted/enrolled in the program
- If not accepted or enrolled, reason
- Termination date, reason

Adult Redeploy Illinois (ARI) information:

- Probation/ARI conditions (types of treatment required, restitution, education, etc.)
- Treatment provider(s)
- Status updates on these conditions (movement between phases, interrupted treatment, start date, completion date, compliance with treatment, etc.)
- Termination from conditions (successful or not, reason for termination, date of completion/termination)
- Changes in education level and employment
- Technical violations, rule infractions, other negative behavior (date, violation reason, sanction applied/response)
- Arrests/convictions while on ARI (date, offense, class, sentence and date if applicable)
- Level of Service Inventory-Revised (LSI-R)/other assessment scores, initial and follow up (date, and at least final assessed risk level and override, if applicable)
- Number of in-person visits with each client monthly (in-office visits, field visits, any time the officer and client meet face-to-face. Phone contacts should not be counted as face-to-face contacts)
- Primary substance of choice (if applicable)
- Drug testing information (date tested, result of test, substance(s) found if positive, location of test [probation or treatment provider])
- Diagnosis information
 - Mental health (date of diagnosis, actual diagnosis)
 - Substance abuse/dependence (date of diagnosis, abuse and/or dependence, substance of preference)

APPENDIX D

ARI Performance Standards

Contractual Performance Measure	Initiator for Corrective Action
<p>Reduction goal:</p> <ul style="list-style-type: none"> • 25% reduction of ARI-eligible IDOC commitments from the identified target population for the grant period. 	<ul style="list-style-type: none"> • Failure to meet or risk of failure to meet the contractual 25% reduction goal for the grant period.
<p>Assessment tools:</p> <ul style="list-style-type: none"> • Risk and needs assessment information utilized for enrollment determinations. 	<ul style="list-style-type: none"> • No assessment tool in use. • Assessment tool not used consistently. • Assessment tool failing to guide enrollment or programming determinations.
<p>Evidence-based practices (EBP):</p> <ul style="list-style-type: none"> • Fidelity of EBP is documented. • 100% of enrolled are receiving EBP. • % high-risk/need engaged in appropriate programming (e.g. substance abuse treatment, mental health treatment, cognitive-behavioral therapy). 	<ul style="list-style-type: none"> • Failure to use EBP (e.g., failure to assess and use information for enrollment and programming, failure to utilize risk-need-responsivity model, failure to use evidence-based programs or curricula). • Failure to address technical assistance recommendations in a timely manner.
<p>Appropriate ARI target/service population:</p> <ul style="list-style-type: none"> • Participants are: <ul style="list-style-type: none"> ○ Non-violent ○ Prison-bound ○ Moderate to high risk • Local programs enroll appropriate target population as planned to match intervention (e.g., high-risk/low-need or high-risk/high-need). 	<ul style="list-style-type: none"> • Analysis of program’s unsuccessful exits shows a lower than pre-determined threshold of program revocations committed to IDOC. • Analysis of risk assessment scores shows program is not serving moderate to high-risk individuals according to pre-determined threshold. • Analysis shows program is excessively overriding risk assessment scores.
<p>Provision of program data as required in contracts:</p> <ul style="list-style-type: none"> • Demographics • Case information • ARI information <ul style="list-style-type: none"> ○ Probation/ARI conditions ○ Drug testing results ○ Diagnosis information ○ Treatment providers ○ Status/termination of conditions ○ Changes in employment/education levels ○ Technical violations, arrests, convictions ○ Risk/other assessment information ○ Client contacts 	<ul style="list-style-type: none"> • Failure to provide requested data in the form/detail requested or in a timely manner.

Corrective action plan (CAP) remedies:

1. Training (use of assessment tools, evidence-based practices, data collection, group dynamics)
2. Technical assistance
3. Assessment of mitigating circumstances
4. Sanctions
5. Termination of contract