



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

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300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

**MINUTES**

**Illinois Criminal Justice Information Authority  
Strategic Opportunities Committee Meeting**  
October 27, 2016, at 1 p.m.  
300 West Adams, Suite 200, Large Conference Room  
Chicago, Illinois 60606

**Call to Order and Roll Call**

The Strategic Opportunities Committee (SOC) of the Illinois Criminal Justice Information Authority met on Monday, October 27, 2016, at 300 West Adams, Suite 200, Large Conference Room, in Chicago, Illinois. Authority General Counsel Angie Weis called the meeting to order at 1:05 p.m. Quorum was not attained.

<b>Committee Member Attendance</b>	<b>Present</b>	<b>Telephone</b>	<b>Absent</b>
Director John Baldwin		X	
Director Patrick Delfino		X	
David R. McNaughton for Interim Superintendent Eddie Johnson			X
Wendy Cohen for Attorney General Lisa Madigan	X		
Sheriff Michael McCoy			X
Director Michael J. Pelletier			X
President Toni Preckwinkle	X		
Rick Tanksley			X
Paula Wolff, Committee Chair		X	
<b>Other Authority Members Present</b>	<b>Present</b>	<b>Telephone</b>	<b>Absent</b>
Daynia Sanchez-Bass for Public Defender Amy Campanelli	X		
Elizabeth Robb, Authority Chair (substitute committee chair)	X		
Jennifer Vollen-Katz		X	

Also in attendance were:

Megan Alderden, Authority Research & Analysis Unit Associate Director  
Reshma Desai, Authority Special Projects Program Advisor  
Mary Ann Dyar, Authority Program Supervisor  
Shai Hoffman, Authority Program Supervisor  
Rebecca Janowitz, representing the Cook County Justice Advisory Council  
Jude Lemrow, Authority Federal & State Grants Unit Administrative Assistant  
John Maki, Authority Executive Director  
Mary Ratliff, Authority Program Supervisor (via teleconference)  
Ron Reichgelt, Authority Program Supervisor  
Greg Stevens, Authority Program Supervisor  
Angie Weis, Authority General Counsel  
Other Authority staff members and guests

### **July 18, 2016, Strategic Opportunities Committee Meeting Minutes**

The minutes of the July 18, 2016 Strategic Opportunities Committee Meeting were not presented or discussed and there was no action taken due to a lack of quorum.

### **Lead Entity Policy Core Components**

Authority Executive Director John Maki called attention to a memo entitled *Questions and Considerations for Lead Entity Policy Discussion* and he delivered a PowerPoint presentation entitled *Lead Entity Policy Discussion* that addressed these Core Components:

1. Criteria for Lead Entity selection
2. Oversight of Lead Entity's Grantmaking
3. Authority monitoring of Lead Entity's and sub-grantees

Executive Director Maki said that the Authority has never had a defined policy regarding the practice of issuing grants to Lead Entities, to be later subgranted out by those Lead Entities.

Authority Program Supervisor Ron Reichgelt explained the unique relationships that the Authority has historically had with the Illinois Coalition Against Domestic Violence and the Illinois Coalition Against Sexual Assault and the Coalitions' roles as Lead Entities. He said that these agencies have specialized standards and expertise in their respective fields that qualifies them to engage in subgrants with partner agencies. ICJIA staff have, therefore, been able to oversee the administration of the grants employing the expertise of the lead entities.

Executive Director Maki said that the Lead Entity arrangement can be very cost-effective since hiring the number of staff necessary to manage these funds directly would be cost-prohibitive.

### **Core Component 1: Criteria to select Lead Entities**

Primary policy question: *What criteria should the Authority establish to determine whether Lead Entities not designated by statute are necessary for, and capable of, efficient and effective grant administration?*

Executive Director Maki suggested that the first question to ask is whether or not a Lead Entity is necessary in any given situation.

Mr. Reichgelt said that for federally funded programs potential Lead Entities submit their policies to Authority staff for review and any agreements that the Authority enters into with Lead Entities includes federal financial and program guidelines. There are no similar guidelines governing state funds, although the Grant Accountability and Transparency Act (GATA) is intended to address this.

Executive Director Maki said that sometimes Lead Entities are established by statute.

Primary policy question: *What criteria should the Authority establish to determine whether Lead Entities not designated by statute are necessary for, and capable of, efficient and effective grant administration?*

Authority Chair Elizabeth Robb said that auditors had concerns of the Authority's methods of the disbursement of funds via Lead Entities in the past. The audit called for Lead Entity policy and procedure development. These policies and procedures will be applied to all Lead Entities whether or not they are statutorily mandated.

Authority Research and Analysis Unit Director Megan Alderden said that the Authority has recently revised its Budgeting for Results performance metrics and they will be applied to state-appropriated funds.

Executive Director Maki said that periodic reviews of Lead Entities for effectiveness and compliance should be built into the policies and procedures.

### **Core Component 2: Oversight of Lead Entity's Grantmaking**

Primary policy question: *How should the Authority strengthen its oversight of Lead Entities?*

A discussion ensued regarding whether or not the use of Lead Entities creates too much distance between their subgrantees that perform the programmatic work and the Authority, thereby affecting its ability to provide effective oversight. Executive Director Maki said that Lead Entities perform vital roles given that the Authority simply does not have the resources to absorb their work. Authority Special Projects Program Advisor Reshma Desai said that the Authority collects a lot of data from subgrantees, so it is not as if Authority staff has no idea what the programs are achieving. Authority Program Supervisor Ron Reichgelt said that staff regularly meets with Lead Entities such as the Coalitions and their subgrantees; the Authority is very visible to the subgrantees. Authority Grant Specialist Maureen Brennan said that the policy under development should emphasize that the use of Lead Entities would be the exception, not the rule. She said that Lead Entities such as the Coalitions have a level of clinical expertise above and beyond the capabilities of the Authority's grant staff, permitting them to address program-specific issues with their subgrantees. She said that the draft policy calls for Lead Entities to have clinical and subject-matter expertise beyond the scope of grant monitoring; they offer something exceptional and unique.

Jennifer Vollen-Katz said that it is important to ensure that Lead Agencies perform at consistently acceptable levels from year to year. The Authority staff needs to be able to evaluate their administrative and substantive performance even though they have the clinical and subject-matter expertise.

Executive Director Maki said that Authority policy should require Lead Entities should adopt the Authority's strategic priorities to qualify for funding.

### **Core Component 3: How should the Authority monitor Lead Entities' and Sub-Grantees' activity?**

Primary policy question: *How should the Authority monitor Lead Entities and Sub-Grantees activity?*

Executive Director Maki said that Authority policy should define how the Authority can monitor Lead Entities' subgrantee performance.

### **Strategic Planning Work**

#### **Justice Assistance Grants (JAG) Plan Updates**

Authority Research and Analysis Unit Director Megan Alderden said staff has moved toward an iterative research process regarding two JAG – funded areas:

1. Violent crime and the implementation of informed/best practices overlaid with Victims of Crime Act (VOCA) planning so that violent crime is addressed from a system perspective as well as a victim perspective.
2. Substance abuse issues in the criminal justice system and the implementation of informed/best practices.

Executive Director Maki said that a draft policy recommendations regarding JAG matching funds requirements and program funding duration would be forthcoming.

#### Victims of Crime Act (VOCA) and Violence Against Women Act (VAWA) Updates

Executive Director Maki described recent Victims of Crime Act (VOCA) and Violence Against Women Act (VAWA) activity. He said that the Victim Services Ad Hoc Committee (VSAHC) had recently met and that committee will work to help establish spending priorities for VOCA and VAWA. Research to support the VSAHC's plan is under way.

#### Notice of Funding Opportunity / Request-for-Proposals Protocol

Executive Director Maki said that the Federal and State Grants Unit (FSGU) is working to implement the Grant Accountability and Transparency Act (GATA). FSGU is also working to transition the Authority to a request-for-proposals (RFP) program funding model.

#### Policy Updates

##### Committees and Task Forces

Executive Director Maki said that:

1. The Illinois Criminal Justice and Sentencing Reform Commission will vote on final recommendations in December.
2. The E-Discovery and Information Sharing Task Force has been working toward a report that is due in January.
3. The Sex Offenses and Sex Offender Registration Task Force will ramp up activity as the Criminal Justice and Sentencing Reform Commission winds down. This task force will examine the registry and ensure that it is aligned with best practices and manage risk appropriately.

##### Data-Driven Health and Justice

Executive Director Maki said that the Data-Driven Health and Justice Initiative was promoted by The White House and it is aimed at helping local jurisdictions use data-driven approaches to reduce reliance on incarceration and strengthen health systems for people with substance abuse and mental disorders. He said that Authority Program Supervisor Mary Ann Dyar had led these efforts and delivered a webinar entitled the *Sequential Intercept Model* that analyzes when individuals enter the criminal justice system. This initiative will be the subject of an event in Champaign in December.

#### Discretionary Funding Working Group

Executive Director Maki said that the Discretionary Funding Working Group helps local agencies access federal and private funding. Preparations are under way for the next federal grant cycle. Last year, the group raised about \$3 million.

#### State Programs

Authority Program Supervisor Mary Ann Dyar said that Adult Redeploy Illinois (ARI) received stop-gap funding that allowed the Authority to pay back SFY16 program expenses and fund the programs through SFY17. Some administrative funds will be made available to support training and technical assistance for ARI grantees.

#### Other Business

None.

#### Public Comment

None.

#### Adjourn

Authority General Counsel Angie Weis adjourned the meeting at 2:43 p.m.